

10539270 *2006* *JM*  
PTO/SB/21 (02-04)Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCETRANSMITTAL  
FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

52

Application Number	10/539,270
Filing Date	June 16, 2005
First Named Inventor	KLEINERT
Art Unit	
Examiner Name	

Attorney Docket Number 200-101

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
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Please charge any fee deficiencies or credit any overpayments to Deposit Account No. 50-0951.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mark D. Passler, Registration No. 40,764 Akerman Senterfitt
Signature	
Date	5-2-06

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Mark D. Passler
Signature	
	Date 5-2-06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you need to complete this form and suggestions for reducing this burden should be directed to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KLEINERT

Application No. 10/539,270

Examiner:

Filed: June 16, 2005

Group Art Unit:

For: METHOD FOR EVALUATING ULTRASONIC SIGNALS OF A FLAW IN A  
WORKPIECE**INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(b)**CERTIFICATE OF TRANSMISSION/MAILING

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 Reg. No. 40,764

Mark D. Passler

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying forms PTO/SB/08A and PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation. A copy of each non-patent document and foreign language reference is enclosed, along with an English-language abstract where available. The references were cited in the PCT Search Report. A copy of the Search Report is enclosed, which satisfies the requirement for a concise explanation of foreign language references.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

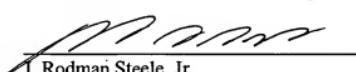
Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of form PTO/SB/08A be returned in accordance with MPEP §609.

Certification

This Statement is being filed prior to the issuance of the first Office Action on the merits for the present application, and this paper is thus submitted in accordance with 37 CFR §1.97(b). In view of this certification, a fee is not required for consideration of these documents. Nevertheless, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 50-0951.

Respectfully submitted,

Dated: 5-2-06



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Docket No. 200-101



10539270 - GAU: 2856

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031  
and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE**

**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

Application Number	10/539,270
Filing Date	June 16, 2005
First Named Inventor	KLEINERT
Art Unit	
Examiner Name	
Attorney Docket Number	200-101

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature /Jacques Saint Surin/ Date Considered 11/19/2008

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

<b>Application Number</b>	10/539,270
<b>Filing Date</b>	June 16, 2005
<b>First Named Inventor</b>	KLEINERT
<b>Art Unit</b>	
<b>Examiner Name</b>	

Attorney Docket Number

200-101

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/J.S./		GROHS, B. et al., Characterization of Flaw Location, Shape, and Dimensions with the ALOK System, Materials Evaluation, Vol. 40, January 1982	
/J.S./		DEUTSCH, V., Rechnergestutzte Fehlerbeschreibung, Ultraschallprufung, 1997, pp 133-141	
/J.S./		SCHLENGERMANN, U., Characterization of Reflectors by Ultrasonic Methods, NDTNET, Vol. 1, No. 12, December 1996, pp 1-8	
/J.S./		SCHLENGERMANN, U., Beitrag zur ErsatzfehlergroBenbestimmung beim Ultraschallprufen nach der Tandemmethode, Schweißen und Schneiden, Vol. 26, No. 5, 1974, pp 169-172	

Examiner Signature	/Jacques Saint Surin/	Date Considered	11/19/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached.

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